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HWP

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In the Matter of the Application of:

Aaron J. Shuman et al.

Serial No.: 10/072,038

Filed: February 7, 2002

For: Electrical Connector Assembly

Examiner: Edwin A. Leon Group Art Unit: 2833

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MAIL STOP: AMENDMENT  
Commissioner For Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail addressed to Mail Stop Amendment, Commissioner For Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on November 22, 2004.

  
Paula M. Capriglione

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 CFR §§1.97-1.98. Please note the following particulars:

[NOTE: One only of items **a**, **b**, **c**, and **d** must be checked.]

- [ ] **a.** The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 CFR §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- [ ] **b.** The enclosed statement is being filed after a first Office Action on the merits but before the mailing date of a final Office Action under 37 CFR

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§1.113, or a notice of allowance under 37 CFR  
§1.311.

The enclosed statement is accompanied by [check one]

[ ] i. a certification in part (e) below as  
specified in 37 CFR §1.97(e);

or

[ ] ii. permission is hereby given to the  
Commissioner to charge Deposit Account No.  
23-1950 in the amount of \$240 required by 37  
CFR §1.17(p).

[X] c. The enclosed statement is being filed after the  
mailing date of a final action under 37 CFR  
§1.113, or a notice of allowance under 37 CFR  
§1.311,

but before payment of the issue fee.

**PETITION:** It is requested that the information being  
submitted be considered.

[**Note:** If box (c) is checked, both of the following  
boxes must be checked.]

[X] **PETITION FEE:** Permission is hereby given to the  
Commissioner to charge Deposit Account No. 23-1950  
in the amount of \$130.00 as required by 37 CFR  
§1.17(i)(1).

[X] **CERTIFICATION** is attended to in box (e)  
below.

[ ] d. The enclosed statement is being filed pursuant to  
37 CFR §1.97(i), for placement in the file.

[X] e. **Certification**[Check one] [Certification is  
required only if box (b)(i) or box (c) has been  
checked.]

[X] I hereby certify that each item of information  
contained in the enclosed Information Disclosure  
Statement was cited in a communication from a  
foreign patent office in a counterpart foreign  
application not more than three months prior to  
the filing of this Information Disclosure  
Statement;

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or

[ ] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no such item of information was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement;

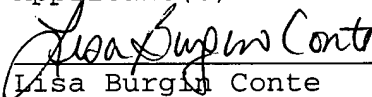
or

[ ] Other appropriate certification is attached.

[X] f. If a fee is due in connection with this communication the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 23-1950. **If (f) is checked, a duplicate of this sheet is enclosed.**

Respectfully submitted,

Aaron J. Shuman et al.  
Applicant(s)

  
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Lisa Burgin Conte  
Registration No. 52,470  
Attorney for Applicant(s)  
Phone: (302) 633-3566  
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or

[ ] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no such item of information was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement;

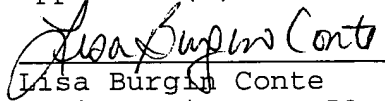
or

[ ] Other appropriate certification is attached.

[X] f. If a fee is due in connection with this communication the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 23-1950. **If (f) is checked, a duplicate of this sheet is enclosed.**

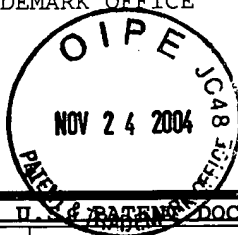
Respectfully submitted,

Aaron J. Shuman et al.  
Applicant(s)

  
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Lisa Burgin Conte  
Registration No. 52,470  
Attorney for Applicant(s)  
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FORM PTO-1449  
(Rev. 2-32)U.S. DEPARTMENT OF COMMERCE  
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LBC / 17810 / 10/072,038INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTAPPLICANT  
Aaron J. Shuman et al.FILING DATE / GROUP  
2/7/02 / 2833

| U.S. PATENT DOCUMENTS |    |                    |      |        |       |               |              |
|-----------------------|----|--------------------|------|--------|-------|---------------|--------------|
| EXAM<br>INIT          |    | DOCUMENT<br>NUMBER | DATE | NAME   | CLASS | SUB-<br>CLASS | FIL.<br>DATE |
|                       | AA | 6,116,939          | 9/00 | Fukuda | 439   | 354           | 4/99         |
|                       | AB |                    |      |        |       |               |              |
|                       | AC |                    |      |        |       |               |              |
|                       | AD |                    |      |        |       |               |              |
|                       | AE |                    |      |        |       |               |              |
|                       | AF |                    |      |        |       |               |              |
|                       | AG |                    |      |        |       |               |              |
|                       | AH |                    |      |        |       |               |              |
|                       | AI |                    |      |        |       |               |              |
|                       | AJ |                    |      |        |       |               |              |

| FOREIGN PATENT DOCUMENTS |    |                    |       |         |       |               |             |
|--------------------------|----|--------------------|-------|---------|-------|---------------|-------------|
|                          |    | DOCUMENT<br>NUMBER | DATE  | COUNTRY | CLASS | SUB-<br>CLASS | TRNS<br>Y/N |
|                          | AK | EP 0 967 500 A2    | 12/99 | Europe  | G02B  | 6/38          |             |
|                          | AL | EP 0 779 682 A2    | 6/97  | Europe  | H01R  | 13/74         |             |
|                          | AM | EP 0 676 828 A2    | 10/95 | Europe  | H01R  | 13/46         |             |
|                          | AN | EP 0 600 469 A1    | 6/94  | Europe  | H01R  | 13/436        |             |
|                          | AO |                    |       |         |       |               |             |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |    |  |  |
|--|----|--|--|
|  | AP |  |  |
|  | AR |  |  |
|  | AS |  |  |

Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.